

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**ENCROACHMENT PERMIT**

TR-0120 (REV 6/2012)

Permit No.  
**0415-NMC 0781**

In compliance with (Check one):

- Your application of April 13, 2015
- Utility Notice No. \_\_\_\_\_ of \_\_\_\_\_
- Agreement No. \_\_\_\_\_ of \_\_\_\_\_
- R/W Contract No. \_\_\_\_\_ of \_\_\_\_\_

Dist/Co/Rte/PM  
 04-Ala- 680- R20.1-R21.9  
 04-CC-680- R0.0-R14.0

DATE  
**April 21, 2015**

Fee Paid \$	Deposit \$
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Performance Bond Amount \$	Payment Bond Amount \$
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Bond Company

Bond Number (1)	Bond Number (2)
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TO:  **Bav Area Infrastructure Finance Authority**  
 101 8<sup>th</sup> Street  
 Oakland, CA 94607

Attn: **Christopher Lillie**  
 Phone: **(510-817-5737)** , **PERMITTEE**

and subject to the following, PERMISSION IS HEREBY GRANTED to:

Construct express lanes, maintenance vehicle pull out and median barrier; install overhead sign structures and regular signs; complete related electrical, utility and drainage works from 0.3 mile south of Dublin Boulevard undercrossing to 0.5 mile north of Olympic Boulevard , in conjunction with Caltrans EA.3G950, Project Id Number 0414000489, and Agreement Number 04-2554, on State Highway 04-Ala-680, Post Miles R20.1/R21.9 and 04-CC-680, Post Mile R0.0/R14.0, in the Cities/Towns of Dublin/San Ramon/Danville/Alamo and Walnut Creek.

Seven days before work is started under this permit, notice shall be given to, and approval of construction details, operations, public safety, and traffic control shall be obtained from State Representative Baljit Sidhu, Senior Engineer, Construction East, Tel 925-602-9002, weekdays, between 7:30 AM and 4:00 PM.

**THIS PERMIT IS NOT A PROPERTY RIGHT AND DOES NOT TRANSFER WITH THE PROPERTY TO A NEW OWNER.**

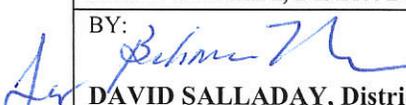
The following attachments are also included as part of this permit ( <i>Check applicable</i> ): <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No    General Provisions (TR-0045) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No    Utility & Tree Trimming Provisions (03/2013) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No    Storm Water Special Provisions (TR-0400) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No    A Cal-OSHA permit, if required: Permit No. <input type="checkbox"/> Yes <input type="checkbox"/> No    As-Built Plans Submittal Route Slip for Locally Advertised Projects <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No    Storm Water Pollution Prevention Plan	In addition to fee, the permittee will be billed actual costs for: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No    Review <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No    Inspection <input type="checkbox"/> Yes    -----    Field Work ( <i>If any Caltrans effort expended</i> )
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Yes     No    The information in the environmental documentation has been reviewed and considered prior to approval of this permit.

This permit is void unless the work is completed before December 31, 2016

This permit is to be strictly construed and no other work other than specifically mentioned is hereby authorized.  
 No project work shall be commenced until all other necessary permits and environmental clearances have been obtained.

PD  
 CC: R Fox(2), Construction- Baljit Sidhu  
 Traffic Management Center- J. Richardson  
 Construction Electrical- Ricardo Ciardiello  
 Design- Bonnita Chow, Electrical Design- M. Noii  
 Project Management- Laurie Lau  
 Traffic Safety- E. Tang  
 Signing-L. David, Lab.

APPROVED:  
**BIJAN SARTIPI, District Director, District 4**  
 BY:   
**DAVID SALLADAY, District Permit Engineer**

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

All permitted work requires the Permittee to apply for and obtain a work authorization number prior to start of work. Additional time beyond the minimum seven-day advanced notice required in the above paragraph may be required for obtaining the traffic control approval.

**Upon completion of permit work, the Department of Transportation will accept only features within State right of Way.**

**Permittee shall be responsible to provide all Contract Change Orders (CCO'S) within and outside State Right of Way and new or revised traffic control plan by Contractor to Caltrans in advance for review and approval or concurrence.**

**Operations and Maintenance (O & M) Agreement shall be executed before contract acceptance and opening of the express lanes.**

When approved, traffic control performed under this permit shall be in accordance with the appropriate State Standard Plans T-10 through T-14. Where required by the plan, the use of a flashing arrow-board is MANDATORY.

Traffic Control shall be performed per Project Plans for construction pages 184-276 and 277-285 and lane closures hours shall be per chart provided in project special provisions.

Permittee shall comply with all the terms and condition as specified in Cooperative Agreements No. 4-2554.

All Permittee's personnel shall wear appropriate personal protective equipment, including hard hats and bright colored vests, shirts, or jackets with retro-reflective material while on State highway right of way.

All utility work shall be performed in accordance with the appropriate provisions contained in this Department of Transportation Encroachment Permit Utility, Tree trimming, and Tree Removal Provisions dated July, 2009, available at [http://www.dot.ca.gov/hq/traffops/developserv/permits/guidelines\\_and\\_booklets/index.html](http://www.dot.ca.gov/hq/traffops/developserv/permits/guidelines_and_booklets/index.html).

Suitable barricades, signs and lights, as approved by State's representative, to warn and protect traffic effectively shall enclose the site of the work.

Excavations made within the limits of the highway shall be backfilled before leaving the work for the night unless otherwise authorized by State's representative. After backfilling the trench, temporary surfacing shall be placed if required by State's representative.

Trench backfill shall conform to Section 19-3.06 of the State's Standard Specifications and the current edition of the Standard Plans. Tests for relative compaction of structure backfill material used in backfilling trenches may be made in accordance with Test Method No. California 231 (Nuclear gauge). Any base, surfacing or pavement shall be replaced in kind, or as otherwise required by State's representative.

Any change in the existing drainage pattern, whether occasioned by increase or diversion, and the cost of any damage, repair or restoration of the State highway right of way shall be the responsibility of the permittee.

Traffic striping, pavement markings and signs shall be furnished and placed by the permittee and the cost shall be borne by the permittee. Where new asphalt concrete has been placed, temporary painted striping and

pavement markings shall be installed within 24 hours. Thermoplastic materials shall be in compliance with Section 84 of the Standard Specifications.

No excavation shall be left open overnight without written permission from the Caltrans representative or unless otherwise specified herein.

Permittee shall be responsible for full compliance with the Caltrans Storm Water Program and the Caltrans NPDES permit requirements. For additional information, visit the Caltrans Storm water Website:  
<http://www.dot.ca.gov/hq/construc/stormwater/stormwater1.htm>.

Any damage to existing facilities, landscaping or irrigation within the State's Right of Way shall be replaced in kind by the permittee at permittee's expense.

Certain details of work authorized hereby are shown on permittee's plans and special provisions submitted with the request for permit.

All work shall be done as per the latest edition of the State Standard Plans and Specifications.

Permittee shall furnish to State's representative a completed form CEM-3101 "Notice of Material to be used." For all signal poles, sign poles, light poles, mast arms, sign panels, guard rail components, and other items as specified by the State representative. Please note these materials require source inspection and approval at the manufacture's plant. Material purchased without prior inspection will be rejected from use on State Highway improvement projects.

At least five working days before starting work on any signal or lighting facilities under this permit, State's Electrical Engineer Ricardo Ciardiello shall be contacted at (510) 231-7140 for approval of construction and operational details.

Notwithstanding General Provision No. 4, your contractor is required to apply for and obtain a no fee encroachment permit prior to starting work.

Utility companies shall apply for encroachment permits separately for their work within Caltran's right of Way.

If existing public or private utilities conflict with the construction of this project, Permittee will make necessary arrangements with the owners of such utilities for their protection, relocation or removal. Permittee shall inspect the protection, relocation or removal of such facilities. Total costs of such protection, relocation or removal shall be borne by Permittee in compliance with the terms of the Highway Encroachment permits, Case Law, Public Utilities Regulations and Property Rights. Permittee shall require any utility performing relocation work in the State's right of way to obtain a State Encroachment permit prior to the performance of said relocation work. Any relocated utility shall be correctly located and identified on the as built plans.

If significant traffic congestion's or other incidents (related to or not related to the permitted activity) occur within, or close to the permitted activity, the permittee shall immediately stop work and remove traffic controls from the highway unless public health, welfare and safety is endangered by unfinished work. Only traffic

control to protect open excavations may remain in place. After free traffic flow is restored, work in accordance with the conditions of the permit may be resumed.

**Within one hundred eighty (180) calendar days following completion and acceptance of the Project construction contract, the permittee shall furnish Caltrans with a complete set of "AS BUILTS" per item 33 of the Cooperative Agreement 04-2554.**

**Immediately following completion of the work permitted herein, the permittee shall fill out and mail the Notice of Completion attached to this permit.**